



DEPARTMENT OF HEALTH & HUMAN SERVICES

Public Health Service

National Institutes of Health  
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May 22, 2015

Ms. Ami Gadhia  
Portfolio Director – Technology Licensing  
Johns Hopkins Technology Ventures  
100 N. Charles, 5<sup>th</sup> Floor  
Baltimore, MD 21201

Re: COI Case No. 43719

Dear Ms. Gadhia,

This is a final response to your May 1, 2015 Freedom of Information Act (FOIA) request addressed to me as the Office of Extramural Research (OER) FOIA Coordinator. You requested a copy of the applications for waiver, both submitted and granted, of the U.S. manufacturing requirement as imposed by the Bayh-Dole Act and United States Code Section 35, U.S. C. § 204, broken down by Extramural Invention (EIR) number, for the years 2011-2015. On May 11, 2015 you amended your request to accept summary data for the applications for waivers. We have interpreted your request to be for perfected applications.

We searched the files of OER Division of Extramural Inventions and Technology Resources (DEITR) and consulted with the Office of Technology Transfer for records responsive to your request. That search produced the following data responsive to your request.

Fiscal Year	Waivers Requested	Waivers Granted	Pending
2011		8	
2012		7	
2013		4	
2014	9	9	
2015	4	3	1

Data regarding the number of NIH Waivers issued by the Office of Technology Transfer for Fiscal Years 2011 – 2014 may be found at <http://www.ott.nih.gov/ott-statistics>.

In certain circumstances provisions of the FOIA and Department of Health and Human Services FOIA Regulations allow us to recover part of the cost of responding to your request. Because the cost is below the \$25 minimum, there is no charge for the enclosed materials.

Sincerely,

Margaret Snyder, PhD.  
Freedom of Information Coordinator  
Office of Extramural Research